Form 1449*	Docket Number: STL919990184US3	Application Number: To be Assigned
INFORMATION DISCLOSURE STATEMENT	Applicant: David E. Simmen	
IN AN APPLICATION	Filing Date: March 24, 2004	Group Art Unit: 2171

U.S. PATENT DOCUMENTS - COPIES NOT REQUIRED

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CW	5,542,073	07/30/96	Schiefer et al.			
CW	5,761,653	06/02/98	Schiefer et al.			
CW	5,864,841	01/26/99	Agrawal et al.			
CW	5,899,986	05/04/99	Ziauddin			
CW	5,956,706	09/21/99	Carey et al.			
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CW	5,995,957	11/30/99	Beavin et al.			
CW	6,275,818 B1	08/14/01	Subramanian et al.			
CW	6,477,523 B1	11/05/02	Chiang			

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	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
CW	EP 0875 838 A2	04/11/98	Europe				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) - COPIES ENCLOSED

CW	"Exploiting Statistics on Query Expressions for Optimization", Nicolas Bruno and Surajit Chadhuri, ACM SIGMOD, June 4-6 2002, Madison, WI, pp. 263-274.
CW	"Filter Factor Estimation for Non-numeric Datatypes", IBM Technical Disclosure Bulletin; Article 41575, November 1998, pp. 1505-1507.
CW	"Integrated Buffer Management and Query Optimization Strategy for Relational Databases", IBM Technical Disclosure Bulletin; Vol. 32, No. 12, May 1990 pp. 253-257.
CW	"Adaptive Path Selection for Query with Input Variables", IBM Technical Disclosure Bulletin; Vol. 32, No. 4A, September 1989, pp. 265-267.
CW	"Optimal Processor Allocation for Pipelined Hash Joins", IBM Technical Disclosure Bulletin; Vol. 37, No. 02A, February 1994, pp. 455-457.
CW	A. Swami et al., "On the Estimation of Join Result Sizes," Advances in Database Technology, EDBT '94, 4th Int'l Conf on Extending Database Technology, March 28-31, 1994, pp. 287-300
CW	F. Najjar et al., "Cardinality Estimation of Distributed Join Queries," Tenth Int'l Workshop on Database and Expert Systems Applications, IEEE Computer Society, Sept. 1-3, 1999, 6 pgs.
CW	A. Segev et al., "Selectivity Estimation of Temporal Data Manipulations," Information Sciences, 1993, 74:111-149

EXAMINER:	DATE CONSIDERED: 06/15/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

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cw		M.C. Chen et al, "Summary Data Estimation Using Decision Trees," Knowledge Discovery in Databases, University of California, pp. 309-323, published: Cambridge, MA, USA, 1991 Xii+525pp. Abstract.
uw		P. Ciaccia et al., "Domains and Active Domains: What This Distinction Implies for the Estimation of Projection Sizes in Relational Databases," IEEE Transactions on Knowledge and Data Engineering, Aug. 1995, 7(4):641-655
cw		B.J. Oommen et al., "Query Result Size Estimation Using a Novel Histogram-like Technique: The Rectangular Attribute Cardinality Map," 1999 International Database Engineering & Applications Symposium, pp. 3-15.
uw		Zhong, Wu et al., "An Algorithm for Optimization of Distributed Queries", Chinese Journal of Computers, Vol. 20, No. 11, pp. 1024-1033, Nov. 1997, Abstract.
uw		W-S. Cho et al., "A New Method for Estimating the Number of Objects Satisfying an Object-Oriented Query Involving Partial Participation of Classes," Information Systems, 1996, 21(3):253-267

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